

Authorized Agent/Trustee/Officer Information

Name _____ Title in Organization (*President, Trustee, Partner, etc.*) _____

- Single Divorced
 Married Widowed

Social Security Number _____ Date of Birth (Month/Day/Year) _____ Marital Status _____

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Home Address _____ City _____ State _____ Zip Code _____ Telephone _____

Employer _____ Occupation/Position _____ Years Employed _____ Type of Business _____

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Employer's Address _____ City _____ State _____ Zip Code _____ Telephone _____

- Check here if you have granted trading authorization to another party.
 If so, request Trading Authorization Form and provide name of agent:

- Check here if you, or a business associate, are a director, 10% shareholder, or policy-making officer of a publicly traded company.
 If so, identify the company name, address, and position held:

- Check here if you, or a business associate, are licensed or employed by a registered broker/dealer or registered investment advisor.
 If so, identify the company name, address, and position held:

- Check here if you, any member of your immediate family, or a business associate is a Senior Foreign Political Figure.
 If so, identify the name of the official, office held, relationship to account owner, and country:

Investment Profile

Regulations require us to ask for the following information in order to properly service your investment needs. All information will be kept strictly confidential.

Investment Objectives (choose all that apply):

- Speculation/Trading Growth Income Capital Preservation

Your investment objectives must include Speculation/Trading for option trading.

Estimated Net Worth: **Estimated Liquid Net Worth:** **Annual Income** (from all sources): **Federal Tax Bracket:**

- | | | | |
|--|--|---|------------------------------------|
| <input type="checkbox"/> \$0 - \$49,999 | <input type="checkbox"/> \$0 - \$49,999 | <input type="checkbox"/> \$0 - \$24,999 | <input type="checkbox"/> Under 15% |
| <input type="checkbox"/> \$50,000 - \$99,999 | <input type="checkbox"/> \$50,000 - \$99,999 | <input type="checkbox"/> \$25,000 to \$49,999 | <input type="checkbox"/> 15% - 25% |
| <input type="checkbox"/> \$100,000 - \$249,999 | <input type="checkbox"/> \$100,000 - \$249,999 | <input type="checkbox"/> \$50,000 to \$74,999 | <input type="checkbox"/> Over 25% |
| <input type="checkbox"/> \$250,000 - \$499,999 | <input type="checkbox"/> \$250,000 - \$499,999 | <input type="checkbox"/> \$75,000 to \$99,999 | |
| <input type="checkbox"/> Over \$500,000 | <input type="checkbox"/> Over \$500,000 | <input type="checkbox"/> Over \$100,000 | |

Would you like your existing brokerage account transferred to Firstrate?

- Yes, transfer my existing brokerage account to Firstrate. (Forms will be sent to you or download from www.firstrate.com.) No

Account Agreement

In this agreement, "I" and "my" refer to the entity for which this account is established and/or the natural person(s) authorized to represent and act on behalf of the entity.

Rule 14b-1(c) of the Securities Exchange Act, unless you object, requires us to disclose to an issuer, upon its request, the name, address, and securities position of our customers who are beneficial owners of the issuer's securities, which are held by Ridge Clearing in nominee name. The issuer would be permitted to use your name and other related information for corporate communication only. If you object to this disclosure, check this box:

I would like to establish a brokerage account with Firstrade Securities Inc. which clears all transactions through Ridge Clearing. I acknowledge by signing this agreement that I have received, read and understand the terms and conditions in the Firstrade Securities Inc. Account Agreement (which includes the margin agreement), and agree to be bound by these terms and conditions as they apply to my account as amended from time to time. I agree that Firstrade Securities Inc. does not provide legal or tax advice, and will not advise me concerning the nature, potential value, or suitability of any particular security, transaction, or investment strategy. I understand that investments purchased through Firstrade Securities are not insured by the FDIC (Federal Deposit Insurance Corporation), are not obligations of or guaranteed by any financial institution and are subject to investment risk and loss that may exceed the principal invested.

In accordance with the Firstrade Securities Account Agreement, I agree that all debts and other obligations owed to Firstrade and any party to the Account Agreement will be secured by a lien on all securities and other property now or hereafter held or maintained in any of my present or future Firstrade accounts, whether individually or jointly held with others, or in any other Firstrade account in which I have an interest.

I further acknowledge that I have received, read and understand the predispute arbitration clause located in the Firstrade Securities Inc. Account Agreement, paragraph 23, and agree to resolve any disputes arising out of my account by arbitration.

I further acknowledge that I have received, read and understand the Margin Disclosure Statement provided by Firstrade Securities Inc.

Under penalties of perjury, I certify (1) that the number shown on this application is my correct taxpayer identification number and (2) that I am not subject to backup withholding and (3) that I am a U.S. person (including a resident alien); provided, however, if I am a nonresident alien as disclosed in this application, I do not certify that I am a U.S. person and I understand that I must submit the appropriate W-8 Form. If I have been notified by the IRS that I am subject to backup withholding as a result of dividend or interest underreporting, I must cross out the text contained in clause (2) of this section and I understand I will be subject to backup withholding.

I authorize Ridge Clearing and/or Firstrade Securities to obtain a consumer report at the time of application to verify my creditworthiness and to obtain a consumer report from time to time for updates, renewals, extensions, and collection activity on any approved account. Upon my written request, Ridge Clearing will disclose to me whether it obtained a report, and if so, the name and address of the consumer-reporting agency that provided it. In the event that my account is denied, as a result of the consumer report verification, I authorize Ridge Clearing to provide to Firstrade the reason(s) for such denial.

I acknowledge that the information provided in the Account Application is accurate and correct.

X

Signature & Title of Authorized Person

Date

For Office Use Only

Margin Cash

Approved By: _____ Date: _____ Account #: _____ Customer ID Verified: Yes No

CERTIFICATE OF TRUST AND INVESTMENT POWERS



To: Firstrade & Ridge Clearing & Outsourcing Solutions, Inc.

In consideration of Firstrade and Ridge Clearing & Outsourcing Solutions, Inc. ("Ridge Clearing") opening and maintaining one or more accounts for the Trust, the undersigned Trustee(s) certify, represent and warrant that the Trust is in full force and effect and that the Trust Agreement has not been revoked, amended or modified in any manner that would render this certification inaccurate. The Trustee(s) further represent and agree that the following information is true, complete and accurate.

TRUST TITLE	DATE OF TRUST
THE TRUST IS GOVERNED BY THE LAWS OF THE STATE OF:	THE TRUST IS: <input type="checkbox"/> Revocable and Amendable <input type="checkbox"/> Irrevocable
LIST ALL CURRENT TRUSTEES	

In addition to the Trustee(s), Firstrade and Ridge Clearing may accept orders and other instructions relative to the Trust Account(s) from the individuals or entities listed below. Those individuals or entities may execute any documents on behalf of the Trust, and unless otherwise specified, any one of the listed individuals or entities may act individually on behalf of the Trust.

Powers of the Trustee(s)

The Trustee(s) certify and represent that the Trust Agreement authorizes each Trustee to act independently and without providing Firstrade and Ridge Clearing with consent of the other Trustee(s) for all purposes related to maintaining this Trust Account or that the Trustee(s) so acting has obtained the requisite consent of the other Trustee(s) in accordance with the terms of the Trust Agreement. The Trustee(s) represent that Firstrade and Ridge Clearing are authorized to follow, without limitation, the instructions of any Trustee including, but not limited to, the signing of all account agreements and documents on behalf of the Trust, directions to deliver or transfer funds, securities or other assets, the endorsement of checks and to enter into transactions for the purchase or sale of securities insurance and annuity contracts and other investments.

The Trustee(s) further represent that the Trust Agreement authorizes (check all that apply):

- to maintain a Margin and Short Account and through such account to borrow funds to purchase securities on margin, sell securities which the Trust does not own (i.e. short sales) and to borrow securities in connection therewith.
- to trade in options contracts, including, without limitations, the purchase of puts and calls and the writing (sale) of covered and uncovered puts and calls.

The Trustee(s) certify and represent that the information provided in the Trust Account Application and other account paper-work has been reviewed by the Trustee(s) and is true and correct as of the date of this certification and that the Trustee(s) agree to notify Firstrade and Ridge Clearing promptly of any amendments to the Trust, any change to the composition of the Trustees or any other event which could alter this certification.

This certification supersedes any prior certification and Firstrade and Ridge Clearing may rely on it until receipt of a new certification. The Trustee(s) agree that Firstrade and Ridge Clearing are not responsible for reviewing or determining the propriety of instructions received by any Trustee and may rely on those instructions without confirming them with any other Trustee. Notice sent to one Trustee, including notice sent electronically, shall constitute notice to all Trustees.

The Trustees hereby jointly and severally agree to indemnify Firstrade and Ridge Clearing and each of its affiliates, officers, directors, employees, and agents from and hold such persons harmless against, any claims, judgments, expenses, liabilities or costs of defense or settlement (including attorney's fees) related to the breach of any representation or warranty made herein or to any actual or alleged improper or unsuitable actions taken upon such Trustee's instructions in connection with any brokerage account established at Firstrade and Ridge Clearing for the Trust. This indemnification shall not be limited in any way by the Trustees' provision Firstrade and Ridge Clearing of independent documentation concerning the representations made herein.

The representations and obligations stated herein shall survive termination of the Trust Agreement and any Account Agreement relating to the Trust's brokerage account.

ACCOUNT NUMBER	DATE
SIGNATURE OF TRUSTEE ➡	PRINT NAME OF TRUSTEE
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