FIRSTRADE®
FIRSTRADE SECURITIES INC.
133-25 37th Ave. Flushing, NY 11354
Member NASD / SIPC

Mutual Fund Periodic Investment Plan Application (PIP)

Customer Information

Name	Brokerage Account Number ☐☐☐ - ☐☐☐☐☐ - ☐☐		
Address			
City	State	Zip Code	Daytime Phone Number ( )

Investment Details

Important: Please use separate application for investments to be made on different days/months and read the current prospectus for each fund in which you intend to invest.

Provide Dollar Amount, Name of Mutual Fund, Ticker Symbol and how you like to purchase funds - Net Asset Value (NAV), Letter of Intent (LOI), or Rights of Accumulation (ROA) Note: Default is Purchase Offer Price (POP) (Call your brokerage office if you need assistance.)

Investment Amount ($50 minimum*)	Name of Mutual Fund	Cusip or Symbol	How to Buy (Please check one) ☐ NAV ☐ LOI ☐ ROA
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* Also subject to fund minimum if higher than $50.

Check months in which to invest ☐ Jan. ☐ Feb. ☐ Mar. ☐ Apr. ☐ May ☐ Jun. ☐ Jul. ☐ Aug. ☐ Sep. ☐ Oct. ☐ Nov. ☐ Dec.

Or indicate cycle: ☐ Monthly ☐ Quarterly (Mar., Jun., Sep., Dec.) ☐ Semi-Annual (Jun., Dec.)

Day on which to invest _____ (i.e., 15th of the month)
mm/dd/yy

Effective Date (begin investments) _____ Expiration Date (stop investments) _____ (i.e., 15th of month)
mm/dd/yy mm/dd/yy

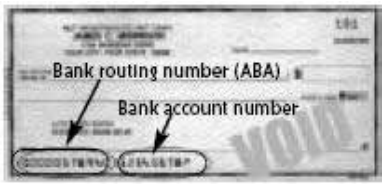
Payment Instructions

Electronic Transfer: Debit my (our) ☐ Checking Account ☐ Savings Account ☐ Money Market

Name of Bank _____

Account Registration _____

Account Number _____



9 Digit ABA No. ☐☐☐☐☐☐☐☐☐

"IMPORTANT": PLEASE ATTACH EITHER A VOIDED CHECK OR PREPRINTED DEPOSIT SLIP

Signature

I (we) hereby authorize and request the investments by made on my (our) account above. I (we) agree that neither fund, nor the broker/dealer with whom this account is established, nor Ridge Clearing & Outsourcing Solutions, Inc. (Ridge) (clearing and executing agent), will be liable for any loss, liability, cost or expense for acting upon instructions. I acknowledge that I have read and understand the prospectus. It is understood that this authorization may be terminated by me (us) at any time three days prior to any scheduled transaction by written notification received by Ridge. Any such notification shall be effective only with respect to entries initiated after receipt of such notification and a reasonable amount of time to act on it.

Customer Signature	Date	Signature of Joint Owner (if applicable)	Date
Financial Consultant Name	FC Rep #	Financial Consultant Signature	Date